

Elizabeth Coppock  
 coppock@phil.hhu.de  
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Compositional Semantics  
 Heinrich Heine University  
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## Schönfinkelization, Types, and Lambdas

### 1 Functional application

**Frege’s conjecture:** All semantic composition is functional application.

**Functional application:** The process of applying a function to an argument. We denote the result of functional application with parentheses:  $f(x)$ , read “ $f$  applied to  $x$ ” or “ $f$  of  $x$ ” is the unique  $y$  such that  $\langle x, y \rangle \in f$ . (Heim and Kratzer 1998, p. 10)

E.g. if EURO is the function that maps every country to true or false depending on whether it is on the Euro, then  $\text{EURO}(\text{Germany}) = 1$ ,  $\text{EURO}(\text{Sweden}) = 0$ , etc. (Note that EURO is the *characteristic function* of the set of countries on the Euro.)

**Functions that return functions.** The result of applying a function can be another function. For example, we could have a function that maps currencies to functions that map countries to truth values. Suppose that CURRENCY is such a function.  $\text{CURRENCY}(\text{Euro})$  would be the same as EURO, so  $\text{CURRENCY}(\text{Euro})$  applied to Germany would return 1:

$$\begin{aligned} [\text{CURRENCY}(\text{Euro})](\text{Germany}) &= \text{EURO}(\text{Germany}) = 1 \\ [\text{CURRENCY}(\text{Euro})](\text{Sweden}) &= \text{EURO}(\text{Sweden}) = 0 \\ [\text{CURRENCY}(\text{Dollar})](\text{Germany}) &= [\text{CURRENCY}(\text{Dollar})](\text{Sweden}) = 0.^1 \end{aligned}$$

How do we define a function that returns another function? We don’t want to name every output function. One way is to list all the input and output values.

$$\left[ \begin{array}{l} \text{Euro} \rightarrow \left[ \begin{array}{l} \text{Sweden} \rightarrow 0 \\ \text{Germany} \rightarrow 1 \end{array} \right] \\ \text{Dollar} \rightarrow \left[ \begin{array}{l} \text{Sweden} \rightarrow 0 \\ \text{Germany} \rightarrow 0 \end{array} \right] \end{array} \right]$$

<sup>1</sup>The square brackets can be omitted when it is clear enough what goes with what;  $\text{CURRENCY}(\text{Euro})(\text{Sweden}) = [\text{CURRENCY}(\text{Euro})](\text{Sweden})$ .

But what if we want to do it “by description” instead? Use  $\lambda$  notation.

$$\text{CURRENCY} = [\lambda m . [\lambda c . c \text{ uses the } m \text{ as a currency}]]$$

$$\begin{aligned} \text{So, } [\text{CURRENCY}(\text{Euro})](\text{Germany}) &= [[\lambda m . [\lambda c . c \text{ uses the } m \text{ as a currency}]](\text{Euro})](\text{Germany}) \\ &= [\lambda c . c \text{ uses the Euro as a currency}](\text{Germany}) \\ &= \text{Germany uses the Euro as a currency} \\ &= 1 \end{aligned}$$

$$\begin{aligned} [\lambda m . [\lambda c . c \text{ uses the } m \text{ as a currency}](\text{Germany})](\text{Euro}) &= [\lambda m . \text{Germany uses the } m \text{ as a currency}](\text{Euro}) \\ &= \text{Germany uses the Euro as a currency} \\ &= 1 \end{aligned}$$

### 2 A fragment of English (H&K 1998, ch. 2)

#### A. Inventory of denotations

- (i) Elements of  $D$ , the set of actual individuals
- (ii) Elements of  $\{0,1\}$ , the set of truth values
- (iii) Functions from  $D$  to  $\{0,1\}$

#### B. Lexicon

$$\begin{aligned} \llbracket \text{Ann} \rrbracket &= \text{Ann} \\ \llbracket \text{Jan} \rrbracket &= \text{Jan} \\ \llbracket \text{works} \rrbracket &= f : D \rightarrow \{0,1\} \\ &\quad \text{For all } x \in D, f(x) = 1 \text{ iff } x \text{ works} \\ &= \lambda x : x \in D . x \text{ works} \\ \llbracket \text{smokes} \rrbracket &= f : D \rightarrow \{0,1\} \\ &\quad \text{For all } x \in D, f(x) = 1 \text{ iff } x \text{ smokes} \\ &= \lambda x : x \in D . x \text{ smokes} \end{aligned}$$

If Ann, Jan and Maria are the only individuals in the domain (so  $D = \{\text{Ann, Jan, Maria}\}$ ), and Ann and Jan are the only smokers, then:

$$\llbracket \text{smokes} \rrbracket = \left[ \begin{array}{l} \text{Ann} \rightarrow 1 \\ \text{Jan} \rightarrow 1 \\ \text{Maria} \rightarrow 0 \end{array} \right]$$

### C. Composition rules

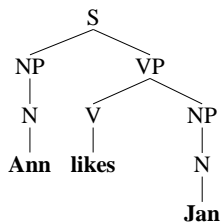
- (S1) If  $\alpha$  has the form  $\begin{array}{c} S \\ / \quad \backslash \\ \beta \quad \gamma \end{array}$  then  $\llbracket \alpha \rrbracket = \llbracket \gamma \rrbracket (\llbracket \beta \rrbracket)$ .
- (S2) If  $\alpha$  has the form  $\begin{array}{c} NP \\ | \\ \beta \end{array}$  then  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket$ .
- (S3) If  $\alpha$  has the form  $\begin{array}{c} VP \\ | \\ \beta \end{array}$  then  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket$ .
- (S4) If  $\alpha$  has the form  $\begin{array}{c} N \\ | \\ \beta \end{array}$  then  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket$ .
- (S5) If  $\alpha$  has the form  $\begin{array}{c} V \\ | \\ \beta \end{array}$  then  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket$ .

**Claim:**

$$\left[ \left[ \begin{array}{cc} S & \\ / \quad \backslash & \\ NP & VP \\ / \quad \backslash & / \quad \backslash \\ NP & V & NP \\ | & | & | \\ \text{Ann} & \text{smokes} & \end{array} \right] \right] = 1 \text{ iff Ann smokes.}$$

### 3 Schönfinkelization

**Semantics for transitive verbs.** Example:



To match rule (S1), the VP should be a function from individuals to truth values (type  $\langle e, t \rangle$ ).

**Aside: Notation for semantic types**

- $e$  is the type of individuals;  $D_e = D$
- $t$  is the type of truth values;  $D_t = \{0, 1\}$

A function has type  $\langle e, t \rangle$  if it is a function from individuals to truth values, etc.

- $D_{\langle e, t \rangle} = \{f : f \text{ is a function from } D_e \text{ to } D_t\}$
- $D_{\langle e, \langle e, t \rangle \rangle} = \{f : f \text{ is a function from } D_{\langle e, t \rangle} \text{ to } D_t\}$

Semantic types are built up recursively:

- $e$  and  $t$  are semantic types.
- If  $\sigma$  and  $\tau$  are semantic types, then  $\langle \sigma, \tau \rangle$  is a semantic type.
- Nothing else is a semantic type.

**Back to the semantics of transitive verbs.** We said before that the VP should be type  $\langle e, t \rangle$ . Since **Jan** denotes an individual, the semantic value of the transitive verb **likes** should be a function from individuals to functions from individuals to truth values, i.e. it should have the following type:

$$\langle e, \langle e, t \rangle \rangle$$

Here is an example. Suppose that Jan likes Ann, but nobody else likes anybody other than themselves (and everybody likes themselves). We can represent this as a set of ordered pairs this:

$$(1) \quad \{ \langle \text{Jan}, \text{Ann} \rangle, \langle \text{Jan}, \text{Jan} \rangle, \langle \text{Ann}, \text{Ann} \rangle, \langle \text{Maria}, \text{Maria} \rangle \}$$

Or we can specify the denotation of **likes** as a function of type  $\langle e, \langle e, t \rangle \rangle$ .

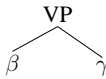
To do this, first we find the characteristic function of the liking relation:

$$(2) \quad \left[ \begin{array}{ll} \langle \text{Ann}, \text{Ann} \rangle & \rightarrow 1 \\ \langle \text{Ann}, \text{Jan} \rangle & \rightarrow 0 \\ \langle \text{Ann}, \text{Maria} \rangle & \rightarrow 0 \\ \langle \text{Jan}, \text{Jan} \rangle & \rightarrow 1 \\ \langle \text{Jan}, \text{Ann} \rangle & \rightarrow 1 \\ \langle \text{Jan}, \text{Maria} \rangle & \rightarrow 0 \\ \langle \text{Maria}, \text{Maria} \rangle & \rightarrow 1 \\ \langle \text{Maria}, \text{Ann} \rangle & \rightarrow 0 \\ \langle \text{Maria}, \text{Jan} \rangle & \rightarrow 0 \end{array} \right]$$

Then we Schönfinkel it right to left. This will result in a function  $F$  such that  $[F(Y)](X) = 1$  if and only if  $R(X, Y)$ .

$$(3) \left[ \begin{array}{l} \text{Jan} \rightarrow \\ \text{Ann} \rightarrow \\ \text{Maria} \rightarrow \end{array} \left[ \begin{array}{l} \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 0 \\ \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 1 \\ \text{Maria} \rightarrow 0 \\ \text{Jan} \rightarrow 0 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 1 \end{array} \right] \right]$$

The right-to-left version gives us what we need for compositional interpretation of transitive verbs, because transitive verbs apply to the object first, and then the subject.

(S6) If  $\alpha$  has the form  then  $[[\alpha]] = [[\gamma]](\llbracket\beta\rrbracket)$ .

So:

$$\left[ \left[ \begin{array}{l} \text{likes} \\ \text{Jan} \end{array} \right] \right] = \left[ \left[ \begin{array}{l} \text{likes} \end{array} \right] \right] \left( \left[ \left[ \begin{array}{l} \text{Jan} \end{array} \right] \right] \right) = [[\text{likes}]](\llbracket\text{Jan}\rrbracket)$$

And if  $[[\text{likes}]]$  is defined as in (3), then:

$$[[\text{likes}]](\llbracket\text{Jan}\rrbracket) = \left[ \begin{array}{l} \text{Jan} \rightarrow \\ \text{Ann} \rightarrow \\ \text{Maria} \rightarrow \end{array} \left[ \begin{array}{l} \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 0 \\ \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 1 \\ \text{Maria} \rightarrow 0 \\ \text{Jan} \rightarrow 0 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 1 \end{array} \right] \right] (\llbracket\text{Jan}\rrbracket) = \left[ \begin{array}{l} \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 0 \end{array} \right]$$

This is the perfect type of meaning to be applied to a subject, so we get:

$$\left[ \left[ \begin{array}{l} \text{Ann likes Jan} \end{array} \right] \right] = \left[ \begin{array}{l} \text{Jan} \rightarrow 1 \\ \text{Ann} \rightarrow 0 \\ \text{Maria} \rightarrow 0 \end{array} \right] (\llbracket\text{Ann}\rrbracket) = 0.$$

**Schönfinkelizing ternary relations.** Ternary relations can also be Schönfinkelized. Imagine that Barack Obama and Angela Merkel are the only two individuals in the universe, and that there is a ternary relation that holds between three individuals  $X, Y$  and  $Z$  iff  $X$  told  $Y$  about  $Z$ . Barack Obama told Angela Merkel about himself, and Angela Merkel told Barack Obama about himself. This extension of this relation, call it  $R$ , can be given as a set of ordered triples:

$$\{\langle \text{Barack}, \text{Angela}, \text{Barack} \rangle, \langle \text{Angela}, \text{Barack}, \text{Barack} \rangle\}$$

The characteristic function of this set is as follows:

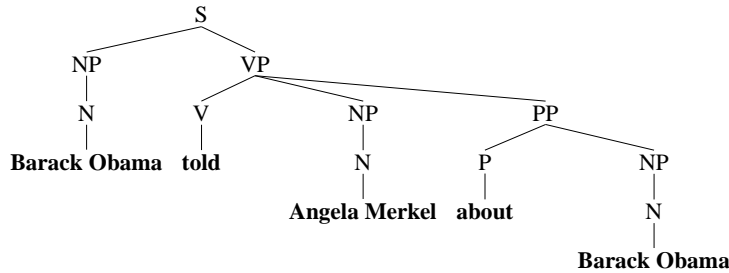
$$(4) \left[ \begin{array}{l} \langle \text{Barack}, \text{Barack}, \text{Barack} \rangle \rightarrow 0 \\ \langle \text{Barack}, \text{Barack}, \text{Angela} \rangle \rightarrow 0 \\ \langle \text{Barack}, \text{Angela}, \text{Barack} \rangle \rightarrow 1 \\ \langle \text{Barack}, \text{Angela}, \text{Angela} \rangle \rightarrow 0 \\ \langle \text{Angela}, \text{Barack}, \text{Barack} \rangle \rightarrow 1 \\ \langle \text{Angela}, \text{Barack}, \text{Angela} \rangle \rightarrow 0 \\ \langle \text{Angela}, \text{Angela}, \text{Barack} \rangle \rightarrow 0 \\ \langle \text{Angela}, \text{Angela}, \text{Angela} \rangle \rightarrow 0 \end{array} \right]$$

Now we Schönfinkelize it from right-to-left, yielding a function  $F$  such that:

$$[[F(Z)]](Y)](X) = 1 \text{ iff } R(X, Y, Z)$$

$$(5) \left[ \begin{array}{l} \text{Angela} \rightarrow \\ \text{Barack} \rightarrow \end{array} \left[ \begin{array}{l} \text{Barack} \rightarrow \\ \text{Angela} \rightarrow \\ \text{Barack} \rightarrow \\ \text{Angela} \rightarrow \end{array} \left[ \begin{array}{l} \text{Barack} \rightarrow 0 \\ \text{Angela} \rightarrow 0 \\ \text{Barack} \rightarrow 0 \\ \text{Angela} \rightarrow 0 \\ \text{Barack} \rightarrow 0 \\ \text{Angela} \rightarrow 1 \\ \text{Barack} \rightarrow 1 \\ \text{Angela} \rightarrow 0 \end{array} \right] \right] \right]$$

Suppose we have a phrase structure rule for ditransitive verbs like *tell*, that generates sentences like *X told Y about Z*. It could generate trees like this for example:



Can we write a semantic interpretation rule that sticks to Frege's Conjecture and uses  $F'$  as the denotation of **told**? Would it help if the PP were adjoined to the VP?

**Lambda ( $\lambda$ ) notation.** Recall:

$$\begin{aligned} \llbracket \text{works} \rrbracket &= f : D \rightarrow \{0,1\} \\ &\quad \text{For all } x \in D, f(x) = 1 \text{ iff } x \text{ works} \\ &= \lambda x : x \in D . x \text{ works} \end{aligned}$$

Generally  $\lambda$ -terms have the following schema:

$$\lambda \alpha : \phi . \gamma$$

where

- $\alpha$  is the argument variable (a letter that stands for an arbitrary argument of the function we are defining)
- $\phi$  is the domain condition (places a condition on possible values for  $\alpha$ )

- $\gamma$  is the value description (specifies the value that our function assigns to  $\alpha$ )

It can be read, "the function which maps every  $\alpha$  such that  $\phi$  to 1 if  $\gamma$  and 0 otherwise", or: "the function which maps every  $\alpha$  such that  $\phi$  to 1 iff  $\gamma$ ".

E.g. "the function which maps every  $x$  such that  $x \in D$  to 1 iff  $x$  works."

The result of applying a function specified using  $\lambda$  notation to its argument is the value description part ( $\gamma$ ), with the argument substituted for the argument variable ( $\alpha$ ).

$$[\lambda x : x \in D . x \text{ smokes}](\text{Ann}) = \text{Ann smokes.}$$

**Back to the semantics of transitive verbs (again).**

$$(6) \llbracket \text{likes} \rrbracket = \lambda x : x \in D . [\lambda y : y \in D . y \text{ likes } x]$$

$$(7) \llbracket \text{likes} \rrbracket(\llbracket \text{Jan} \rrbracket) = [\lambda x : x \in D . [\lambda y : y \in D . y \text{ likes } x]](\text{Jan}) \\ = \lambda y : y \in D . y \text{ likes Jan}$$

$$(8) \llbracket \text{likes} \rrbracket(\llbracket \text{Jan} \rrbracket)(\llbracket \text{Ann} \rrbracket) = [\lambda y : y \in D . y \text{ likes Jan}](\text{Ann}) \\ = \text{Ann likes Jan}$$

**More fun with lambdas.**

$$\begin{aligned} &[\lambda x \in D . [\lambda y \in D . [\lambda z \in D . z \text{ told } x \text{ about } y]]](\text{Obama})(\text{Merkel}) \\ &= [\lambda y \in D . [\lambda z \in D . z \text{ told Obama about } y]](\text{Merkel}) \\ &= [\lambda z \in D . z \text{ told Obama about Merkel}] \end{aligned}$$

$$\begin{aligned} &[\lambda x \in D . [\lambda y \in D . [\lambda z \in D . z \text{ told } x \text{ about } y]]](\text{Obama})(\text{Merkel}) \\ &= [\lambda x \in D . [\lambda y \in D . \text{Obama told } x \text{ about } y]](\text{Merkel}) \\ &= [\lambda x \in D . \text{Obama told } x \text{ about Merkel}] \end{aligned}$$

$$\begin{aligned} &[\lambda f \in D_{(e,(e,t))} . [\lambda x \in D_e . f(x)(\text{Fred}) = 1]]([\lambda y \in D_e . [\lambda z \in D_e . z \text{ kissed } y]]) \\ &= [\lambda x \in D_e . [\lambda y \in D_e . [\lambda z \in D_e . z \text{ kissed } y]]](x)(\text{Fred}) = 1 \\ &= [\lambda x \in D_e . [\lambda z \in D_e . z \text{ kissed } x]](\text{Fred}) = 1 \\ &= [\lambda x \in D_e . \text{Fred kissed } x] \end{aligned}$$