

# It's not what you expected!

## The surprising nature of cleft alternatives in French and English

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### 2 ABSTRACT

3 While much prior literature on the meaning of clefts – such as the English form ‘It is X that  
4 Z’ – concentrates on the nature and status of the exhaustivity inference (‘nobody/nothing other  
5 than X Z’), we report on experiments examining the role of the doxastic status of alternatives  
6 on the naturalness of *c’est*-clefts in French and and *it*-clefts in English. Specifically, we study  
7 the hypothesis that clefts indicate a conflict with a doxastic commitment held by some discourse  
8 participant. Results from naturalness tasks suggest that clefts are improved by a property we  
9 term ‘contrariness’ (along the lines of Zimmermann, 2008). This property has a gradient effect on  
10 felicity judgments: the more strongly interlocutors appear committed to an apparently false notion,  
11 the better it is to repudiate them with a cleft.

12 **Keywords:** English, French, clefts, contrast, interlocutors’ expectations, existential inference

### 1 INTRODUCTION

13 In many languages, a sentence expressing a single proposition can be cleft in twain, dividing the message  
14 over two clauses. Two examples are the English *it*-cleft (1-a) and its French counterpart the *c’est*-cleft  
15 (1-b).

- 16 (1) a. It’s [David]<sub>F</sub> who drank vodka.  
17 b. C’est [David]<sub>F</sub> qui a bu de la vodka.

18 It is generally accepted that one purpose that clefting serves is to mark focus. Focus-marking entails that  
19 there are alternatives relevant for interpretation, and that those alternatives correspond to the focus-marked  
20 constituent (see e.g. Rooth 1992; Krifka 2007). In (1), the focus-marked constituent is the so-called *pivot* of  
21 the cleft, corresponding to the subject of the embedded clause in this case, and the alternatives correspond  
22 to other people who could have drank vodka, e.g. Paul, Jill, etc.

23 This paper investigates a relatively under-explored aspect of the focal alternatives determined by a cleft,  
24 namely their doxastic status for the interlocutor. In particular, we investigate the possibility that clefts signal  
25 a commitment on the part of the interlocutor to a proposition that conflicts with the one the cleft expresses,  
26 and that clefts serve to express opposition to that commitment. Using acceptability rating tasks, we provide

27 experimental evidence that, *ceteris paribus*, both *it*-clefts and *c'est*-clefts improve in acceptability in  
 28 proportion to the degree to which they indicate that an utterance runs contrary to a doxastic commitment  
 29 on the part of the interlocutor (or another discourse participant).

30 Clefts have generally been analyzed as having three core components (Halvorsen, 1978; Horn, 1981;  
 31 Lambrecht, 1994). The first is the PREJACENT inference, which for a sentence of the form 'it is X who V-ed'  
 32 is the proposition expressed by the canonical form 'X V-ed' (2-a). Second, they convey an EXISTENTIAL  
 33 inference, such that there exists an X who V-ed (2-b). This is typically taken to be a presupposition. Third,  
 34 they convey an EXHAUSTIVE inference, such that X is the sole (or maximal) entity that V holds of, e.g.  
 35 (2-c). A large body of literature discusses the nature of the latter inference, and how it arises (see among  
 36 others, Halvorsen 1978, Atlas and Levinson 1981, Wedgwood 2007, Velleman et al. 2012, Buring and  
 37 Kriz 2013, Destruel et al. 2015).

- 38 (2) a. Prejacent: David drank vodka.  
 39 b. Existential: Someone drank vodka.  
 40 c. Exhaustivity: No one other than David drank vodka.

41 The doxastic status of these or other inferences for the hearer has typically not been discussed *per*  
 42 *se*, although Prince (1978) is one exception. On the basis of corpus evidence, she concluded that  
 43 although *it*-clefts mark the existential as a "known fact", yet "the information represented in *it*-cleft  
 44 that-clauses does NOT have to be assumed to be in the hearer's mind." Thus while the existential  
 45 inference is presupposed, it can be what she terms an "informative presupposition." She goes even  
 46 further, claiming that "*it*-clefts make no assumptions about the hearer". This latter claim is challenged  
 47 by the data we present here. [well, doesn't she say this about the informative  
 48 presupposition type of cleft? Which is not really the kind we are looking  
 49 at with our study---edj]

50 Clefts have also been claimed to express *contrast* (Jespersen, 1927; Harries-Delisle, 1978; Sarnicola,  
 51 1988; Umbach, 2004; Patten, 2012). For English, this observation dates back to the work in which the term  
 52 'cleft' was first coined; in perhaps the first general treatment of clefts, Jespersen (1927) claims "A cleaving  
 53 of a sentence by means of *it is* (often followed by a relative pronoun or connective) serves to single out one  
 54 particular element of the sentence and very often, by directing attention to it and bringing it, as it were, into  
 55 focus, to mark a contrast (Jespersen 1927, 147f.). For French, a similar observation is found in the seminal  
 56 work of Lambrecht (1994), who argues that the *c'est*-cleft is the most natural way to signal *contrastive*  
 57 *focus*, a type of focus that is sometimes distinguished from *information focus* (see e.g. Zimmermann and  
 58 Onea 2011). The former signals contrast, while the latter highlights new information.

59 What exactly is contrast? On a broad view of contrast, adopted in the work of Vallduvi and Vilkuna  
 60 (1998) (see also Lopez 2009; Selkirk 2008; Katz and Selkirk 2011), a *kontrastive* expression  $\alpha$  generates  
 61 a membership set  $M = \{\dots, \alpha, \dots\}$  which "becomes available to semantic computation as some sort of  
 62 quantificational domain" (Vallduvi and Vilkuna, 1998). Contrast (formalized as *kontrast*) amounts to  
 63 nothing more than membership in a salient set on this understanding. We note that this definition of contrast  
 64 corresponds exactly to the definition of focus in Rooth (1985)'s Alternative Semantics in that the contrastive  
 65 element generates a set of alternatives for the focused constituent.

66 Several more narrow conceptions of contrast exist as well. Rooth (1992) defines contrast as a subcase  
 67 of a more general notion of focus; for him, a phrase  $\alpha$  should be taken as contrasting with a phrase  $\beta$  if  
 68 the ordinary semantic value of  $\beta$  is a subset of the focus semantic value of  $\alpha$ . É. Kiss (1998) writes that

69 focus (for which she uses the term ‘identificational’ focus) has the feature [+contrastive] “if it operates on  
 70 a closed set of entities whose members are known to the participants of the discourse [. . .] In this case,  
 71 the identification of a subset of the given set also identifies the contrasting complementary subset” (p.  
 72 267). This definition requires more than the broad one in that the set of alternatives to the focal element  
 73 must also be *restricted* in size, and clearly *identifiable* by the discourse participants. Contrast has also  
 74 been characterized with a requirement to exclude alternatives (Molnar, 2002; Kenesei, 2006); in other  
 75 words, contrast entails *exhaustivity* on this view. Both Rooth’s and Kiss’s conceptions of contrast entail a  
 76 requirement for a salient antecedent in the discourse, a requirement that goes beyond the three Halvorsen  
 77 components ordinarily attributed to clefts.

78 There is some evidence that contrast in one of these narrower conceptions is indeed encoded by clefts,  
 79 as they do appear to require a salient antecedent. For instance, while *it*-clefts often sound odd as direct  
 80 answers to overt questions as in (3)—i.e. when there is no antecedent in the discourse—they are often  
 81 much more natural as corrections, as in (4). In this case, the previous utterance being corrected provides  
 82 exactly the kind of antecedent that Rooth mentions for a contrastive focus.

83 (3) A: Who cooked the beans?  
 84 B: #It was John who cooked the beans.<sup>1</sup>

85 (4) A: I wonder why Alex cooked so much beans.  
 86 B: Actually, it was John who cooked the beans.

87 Quantitative evidence that this contrast is robust comes from Destruel and Velleman 2014, who find that  
 88 the context in (3) leads to the lowest naturalness ratings for clefts. If clefts encode contrast in Rooth’s or  
 89 Kiss’s sense, then these contrasts can be explained.<sup>2</sup>

90 But even if the Halvorsen components are supplemented with a requirement for contrast in Rooth’s or  
 91 Kiss’s sense (i.e., a requirement for the right sort of antecedent), the resulting theoretical picture still fails  
 92 to capture certain facts about cleft behavior. In English, in contexts where an appropriate discourse-familiar  
 93 alternative is indeed available, speakers may nevertheless choose *not* to use a cleft—its use sounding stilted  
 94 and odd. Although experimental work on contrast in clefts is scarce, in a study conducted by Destruel and  
 95 Velleman (2014), English speakers displayed a statistically robust preference for the canonical version in  
 96 (5). They also rated the sentence in (5-b) as less natural than (6-b), despite the fact that (5-b) *does* have an  
 97 antecedent available (viz. Canada), and (6-b) does not.

98 (5) A: Darren sounded really excited about his vacation. I think he might be going to Canada.  
 99 a. B: Actually, he’s going to Mexico.  
 100 b. B: ? Actually, it’s Mexico that he’s going to.

101 (6) A: We were planning Amy’s surprise party for weeks. I can’t believe she found out about it. Who  
 102 told her about it?

<sup>1</sup> Throughout the paper, we will indicate ungrammaticality with an asterisk (\*) and infelicity with a hash (#).

<sup>2</sup> For É. Kiss (1998), contrast is directly encoded in grammar and realized in a specific sentence position, via clefting in English, and in the left periphery in Hungarian. Put slightly differently, contrast is conventionally encoded in the cleft structure itself. Other scholars, however, argue against the existence of such a strong link, including Horn (1981) and Declerck (1984). On the basis of experimental data in Georgian, Skopeteas and Fanselow (2010a) argue that contrast-related movements are optional, providing evidence that foci realized in the pre-verbal or post-verbal position can receive the same kinds of interpretations (e.g., contrastive or exhaustive). This suggests that contrastivity is not directly encoded in the grammar, at least in Georgian.

- 103 a. B: Ken told her about it.  
 104 b. B: It was Ken who told her about it.

105 It is worth noting that there is evidence that in certain languages, clefts or other intuitive contrastive focus  
 106 constructions do not always lead to the exclusion of alternatives; the strength of the exhaustive inference  
 107 can in fact be modulated by the context. This has been argued, for instance, for clefts in St'át'imcets  
 108 (Salish; Thoma 2009) and French (Destruel and DeVeugh-Geiss accepted), for focus movement structures  
 109 in K'ichee' which are arguably clefts (Mayan; Yasavul 2013), and for non-cleft focus movement structures  
 110 in Tangale (Chadic; Zimmermann 2011) which, Zimmerman argues, still show signs of being contrastive in  
 111 an important sense. Thus, if we want to retain the idea that clefts (and other focus movement constructions)  
 112 are inherently contrastive, then these data suggest that defining contrast in terms of exclusion of alternatives  
 113 may also miss the mark.

114 Given this backdrop, the question arises as to whether another factor might be relevant in better predicting  
 115 the clefts' use in contrastive focus contexts, and more broadly, in characterizing the notion of contrast.  
 116 We think that an interesting approach is found in Zimmermann (2008, 2011), who proposes a definition  
 117 calling on the notion of speaker-hearer *expectation*. This definition can therefore be thought of as *doxastic*.  
 118 A focus constituent  $\alpha$  is contrastive whenever the speaker assumes that “the hearer will not consider the  
 119 content of  $\alpha$  or the speech act containing  $\alpha$  likely to be(come) common ground” (Zimmermann, 2008, 9).  
 120 This suggestion is consonant with an earlier claim of Delin (1991), based on an extensive corpus study, that  
 121 one of several different functions of *it*-clefts is “to correct some previous claim by challenging it.” Thus,  
 122 our first research question is the following:

123 (7) **Research Question 1**

124 What aspect(s) other than the presence of a discourse-familiar alternative license clefts, and,  
 125 specifically, does the attitude expressed towards salient alternatives affect the felicity of clefts?

126 Our research on this question builds on previous work by augmenting traditional analyses of contrast with  
 127 what we term *contrariness*. In the spirit of Zimmermann (2008, 2011), we take contrariness to relate to the  
 128 degree of commitment that an addressee is established to have to a contrary focal alternative. We define  
 129 one clause to be contrary to another if they differ only with respect to focused material, and one contradicts  
 130 the other. We distinguish between three imaginable hypotheses:

- 131 (i) The meaning components identified by Halvorsen (1978) (**the Halvorsen components**) are sufficient  
 132 to capture the significance of a cleft construction. The contribution of alternatives to the meaning of a cleft  
 133 lies solely in the exhaustivity component of the meaning.  
 134 (ii) In addition to the Halvorsen components, clefts signal a **non-doxastic** type of contrast, of the type  
 135 characterized by É. Kiss (1998) or Rooth (1992), incorporating a requirement for an appropriate antecedent.  
 136 (iii) In addition to the Halvorsen components, clefts signal a **doxastic** type of contrast (i.e., *contrariness*).  
 137 The nature of the clefted alternatives involves a contrast between interlocutors' expectations.<sup>3</sup>

138 The experiments reported in this paper set out to test Hypothesis (iii)—we hypothesize that in addition  
 139 to the core components in (2), clefts incorporate a requirement that the ordinary meaning is contrary to a  
 140 previously salient focal alternative. Put slightly differently, we expect clefts to be optimal candidates in

<sup>3</sup> Our notion of *contrariness* could be seen as an implementation of the notion of *corrective focus*: see e.g. Gussenhoven 2008. However, there are some cases where contrariness could be argued to be at play that do not involve what one would be inclined to call corrections, including “Either Mary ate the beans, or it was John who ate them.” For this reason, we take it that correction, although it is a possible use of clefts, is not what clefts mark.

141 contexts where they do more than just introduce a linguistic contrast, but rather are used as a response to  
142 an (explicit) contrary claim. we expect this effect to be gradient on felicity judgments: the more strongly  
143 interlocutors appear committed to an apparently false notion, the better it is to repudiate them with a cleft.  
144 Crucially, this doxastic definition allows for *degrees* of contrast, corresponding to stronger or weaker  
145 conflict with expectations, and we argue that these degrees correlate with clefts' naturalness. On this  
146 basis, the slight infelicity of (5-b) might be explained as follows: Although there is some contrast between  
147 B's claim and what A has stated previously, A's hedging ("I think he might. . .") indicates only a mild  
148 commitment to a contrary proposition, and this mild commitment to a contrary proposition does not suffice  
149 to make a cleft fully felicitous for B. Compare this with the much more strident rebuttal of the hearer's  
150 view found in this naturally occurring example cited by Hedberg (1990):

- 151 (8) JM: Some people think that Reagan's administration is at its LOWEST ebb, its NADIR. Do you  
152 agree, Eleanor?  
153 EC: Absolutely not. The Reagan-Baker Administration is in FINE shape. It's the BUCHANAN  
154 administration that's having PROBLEMS.

155 A second issue central to our current research concerns the grammatical reflex of contrast across languages.  
156 Indeed, while the bulk of the past theoretical literature on focus and clefts has been developed around  
157 (introspective judgments for) English, cross-linguistic counterparts to the *it*-cleft are also noted to express  
158 contrast, such as the French *c'est*-cleft, as mentioned earlier. But, as Repp (2016) notes, languages might  
159 differ with respect to the grammatical sensitivity they have to particular aspects of the (set of) alternatives.  
160 The author says that "For instance, the view that alternativeness equals contrastiveness might make the right  
161 prediction for the application of particular strategies in language *x* whereas in language *y* similar marking  
162 strategies might require the presence of a clearly identifiable alternative set." This seems particularly  
163 relevant when comparing clefts in languages like French and English since, while both *it*- and *c'est*-clefts  
164 can express contrast, there are subtle and crucial differences in their distribution. First, the French cleft is  
165 used more commonly than its English counterpart (Carter-Thomas, 2009), in particular in comparison to  
166 canonical sentence forms (SVO). The reason appears to be primarily prosodic: whereas English can shift  
167 prosodic prominence to match the location of the focus constituent, French is more rigid, and prosodic  
168 stress is required to appear at the right edge of an intonation phrase. The *c'est*-cleft, despite adding syntactic  
169 complexity, circumvents this prosodic restriction by creating an extra intonational boundary that can align  
170 with the focus constituent (Hamlaoui, 2008). Consequently, the *c'est*-cleft constitutes the default strategy to  
171 signal focus (also known as *information* focus), especially on grammatical subjects (Lambrecht, 1994; Féry,  
172 2013; Destruel, 2013). Second, the French *c'est*-cleft can be used in focus contexts where the English cleft  
173 is prohibited; for instance to signal focus on the entire sentence rather than on a single element (i.e. *broad*  
174 focus). Given that clefts have a broader distribution in French than English, our paper seeks to address a  
175 second research question:

- 176 (9) **Research Question 2**  
177 Does dependency on the status of alternatives differ between these two languages?

178 Our research on the second question builds on prior work by directly comparing the role of contrariness in  
179 two languages that have different use-conditions for the construction. Given the subtle differences in clefts'  
180 use in French and English, we expect that the two languages may differ as to how contrastive a discourse  
181 must be before the cleft is considered most natural.

182 To the best of our knowledge, there have been very few attempts to investigate the contrastive aspect  
183 of clefts experimentally (but see Destruel and Velleman 2014), and especially across languages that  
184 differ in their use of clefting as a strategy to mark focus. Moreover, in attempts that do exist, contrast  
185 is not often operationalized in a gradient way, i.e. studies typically compare highly contrastive contexts  
186 to non-contrastive ones leaving aside the potential different degrees that contrast can have. Given these  
187 observations and the background information presented thus far, this paper aims to bridge the theoretical  
188 and the empirical literature on contrast in clefts. The remainder of the paper is structured as follows: We  
189 present the studies in section 2, discuss their results in light of current views of contrast and clefts' meaning  
190 in section 3, and end with concluding remarks as well as avenues for future work in section 4.

## 2 THE STUDIES

191 Recall that the paper examines two research questions, repeated in (10) and (11) for convenience.

192 (10) **Research Question 1**

193 What factors other than the presence of a discourse-familiar alternative license clefts, and,  
194 specifically, does the attitude expressed towards salient alternatives affect the felicity of clefts?

195 (11) **Research Question 2**

196 Given that clefts have a broader distribution in French than English, does dependency of the status  
197 of alternatives differ between these languages?

198 Our investigation includes three tasks conducted in English and French. Two pre-tests were designed to  
199 provide baseline ratings for the existential inference in target sentences and for the strength of commitment  
200 of Speaker A in the context, respectively; the main task consisted of naturalness ratings for clefts and  
201 canonical sentences in six contexts that instantiated different degrees of contrariness. The experimental  
202 stimuli for these three tasks were always presented in written form and were based off of the same source  
203 sentences, which were translated by a French native speaker for the French version of the experiment. What  
204 differed across tasks regarding the materials was which part of the stimuli participants got to see and judge.  
205 Given this, we present the common elements of the three tasks in Section 2.1. We present the details for  
206 each task—i.e., design, procedure and results—in Sections 2.2-2.4.

### 207 2.1 Methods

#### 208 2.1.1 Materials

209 The experimental stimuli consisted of short dialogues between two speakers. All dialogues included a  
210 **background** (Speaker A) as in (12), and a **comment** (Speaker B) presented either in a canonical SVO or in  
211 a cleft form, as in (13). (Note that the sample stimuli in (12)-(13) illustrate the condition in which the focus  
212 is on the grammatical subject. See (14) for an example of the object condition.) The background always  
213 contained three sentences. The first two established the story and the last one contained the information  
214 on which B's comment was based. The last sentence in Speaker A's part was crucial in our experiment;  
215 this is the sentence we modulated to create six contexts with varying degrees of contrariness, illustrated in  
216 (12-a)-(12-f). These six contexts varied according to four factors: Grammatical Function, Contradiction,  
217 Commitment and At-issueness. We detail them hereafter.

218 For each of the six contexts, we created 12 lexicalizations, so 72 experimental dialogues per grammatical  
219 function or 144 in total, and this for each language.

- 220 (12) Speaker A: We were planning Amy's surprise party for weeks. I can't believe she found out about  
221 it. [...]
- 222 a. Context 1, Non-contradictory (NC)  
223 ... I guess someone from the staff told her.
- 224 b. Context 2, Weak At-issue (WAI)  
225 ... I guess Alice must have told her.
- 226 c. Context 3, Weak Non-At-issue (WNAI)  
227 ... And Alice—who I think, probably went and told her about it—just laughed and said it was  
228 no big deal!
- 229 d. Context 4, Strong At-issue (SAI)  
230 ... Alice told her about it, you know.
- 231 e. Context 5, Strong Non-At-issue (NSAI)  
232 ... And Alice—who went and told her about it—just laughed and said it was no big deal!
- 233 f. Context 6, Presuppositional (P)  
234 ... I'm annoyed that Alice told her about it!
- 235 (13) Speaker B: Yeah/ Actually, [...]
- 236 a. ... Ken told her about it. (*canonical form*)
- 237 b. ... it's Ken who told her about it. (*cleft form*)

238 The first factor varied was GRAMMATICAL FUNCTION of the focused element, that is whether the element  
239 that B commented on was the grammatical subject or the object. An example of the object condition for  
240 context 1 is given in (14).

- 241 (14) Object condition, Context 1 (NC):
- 242 a. Speaker A: Look at John this evening! He's all dressed up. [...] I guess he's going out with  
243 someone from the marketing team.
- 244 b. Speaker B: Yeah, he's going out with Karen/ Yeah, it's Karen he's going out with.

245 The second factor, CONTRADICTION, refers to whether or not the information in Speaker B's comment  
246 contradicted the information stated in the last sentence uttered by Speaker A. The first context designed  
247 (Context 1) was non-contradictory because there was no other identifiable salient individual in A's part.  
248 The other five (Contexts 2-6) were contradictory; there was one alternative explicitly given in the discourse,  
249 thus being clearly identified. When the context was non-contradictory, B's comment was always introduced  
250 by "Yeah/*Ouai*,...", while in all other contexts, B's comment was introduced by "Actually/*En fait*,...".

251 The third factor we manipulated was COMMITMENT, which corresponds to the strength with which  
252 Speaker A is committed to their statement, as measured in task 1, detailed in section 2.2. Crucially,  
253 and expanding on prior studies on the (grammatical) reflexes of contrast, we understand this factor as  
254 being gradient; it can vary in strength depending on how the speaker chooses to express their beliefs.  
255 We implemented this gradience starting from "no (overt) commitment" in Context 1. The next contexts  
256 (Contexts 2-6) have increasingly stronger degrees of commitment regarding the prejacent, i.e., weak, strong  
257 and presuppositional. In the current study, we used a variety of attitude verbs and adverbs to encode these  
258 various degrees: in the weak and strong conditions (Context 2 and 5), the speaker respectively expresses  
259 a low or a high degree of commitment toward the asserted prejacent proposition. In Context 6, on the



260 contrary, the prejacent is presupposed; the speaker expresses a personal, subjective opinion about the truth  
 261 of another asserted proposition in the sentence (i.e., “I’m annoyed that Alice told her about it!”, in (12-f)).  
 262 In our view, more strongly expressed commitment lead to stronger conflict between interlocutors, and  
 263 we hypothesize that clefts are more natural in cases when the level of conflict between interlocutors is  
 264 maximal; in other words, when clefts are used as responses to an (explicit) contrary claim.

265 Finally, we varied AT-ISSUENESS, which refers to whether or not the relevant proposition in A’s speech  
 266 commented on by B was at-issue. The motivation behind including AT-ISSUENESS as a factor comes  
 267 from Destruel and Velleman (2014), who also argue for the relevance of *contrast in expectation* in the  
 268 interpretation of clefts, and argue that two types of expectations may be at play; not just expectations about  
 269 the state of the world but also expectations about the shape and direction of discourse. The latter type  
 270 is directly relevant here since it may involve beliefs about the direction in which the discourse is going,  
 271 expressed, among other ways, by marking content as at-issue or not-at-issue. We assume that interlocutors  
 272 taking part in a discourse will generally address the propositions that are currently at-issue. Thus, a move  
 273 which addresses a previously not-at-issue proposition is an *unexpected* discourse move. Consequently,  
 274 if clefts are more natural when there is a conflict in expectations, we expect clefts to be judged more  
 275 acceptable if Speaker B is commenting on content which had previously been marked as not-at-issue (in  
 276 A’s speech), thereby violating the expectation that such content will not need to be discussed further.

277 The full set of stimuli is provided in Appendix A. Table 1 gives a summary of the experimental conditions.

Context	Contradiction	Strength of Commitment	At-issue
1: Non-Contradictory (NC)	no	+	no
2: Weak At-Issue (WAI)	yes	++	yes
3: Weak Not At-Issue (WNAI)	yes	+++	no
4: Strong At-Issue (SAI)	yes	++++	yes
5: Strong Not At-Issue (SNAI)	yes	+++++	no
6: Presuppositional (P)	yes	++++++	no

**Table 1.** Conditions for Speaker A’s last sentence.

## 278 2.2 Task 1: Strength of existential inference

### 279 2.2.1 Participants

280 We note that all participants in Task 1 were different from the participants who completed Task 2 and the  
 281 main task.

282 For English: We recruited a total of 65 participants (all undergraduates at a midwestern university, ages:  
 283 19-23; median: 20) from a first-year language class. Subjects were given extra-credit for their participation,  
 284 and were all naive as to the goal of the experiment.

285 For French: We recruited 48 monolingual native speakers of French. All were given monetary  
 286 compensation for their participation and were naive as to the goal of the experiment. Participants were  
 287 from the regions of Pau, Toulouse and Albi in Southwestern France. Overall, 61% were undergraduate  
 288 students, 34% graduate students, and 5% staff working at the university.

### 289 2.2.2 Design & Procedure

290 The goal of this first test was to measure the strength of the existential inference in Speaker A’s part, i.e.  
 291 **how likely is it that A believes someone ‘V-ed’?** This is necessary to ensure that any effect of contrariness



we find is not an artifact of variation among items with respect to the strength of the existential inference that they give rise to. The test was delivered via the web-based survey site Qualtrics. Participants sat in front of a computer screen and read a total of 24 backgrounds (A's part), pseudo-randomized among 24 fillers (recall that participants only saw and rated Speaker A's part of the dialogue in this task.) On each trial, after reading A's part, participants were asked to judge, on a scale from 1-7, how likely is it that A thinks that someone V-ed. So for instance, given Context 1 in (12-a) above, participants were asked how likely is it that "someone told Amy about her surprise party" (1 corresponding to extremely unlikely, and 7 to extremely likely). The procedure for English and French was exactly similar; French speakers provided judgments based on the question '*Quelle est la probabilité que A pense que quelqu'un a V?*'

### 2.2.3 Results

Mean naturalness ratings for the strength of the existential inference in A's part are presented in Table 2, for English and French.

	Mean ratings (subjects)		Mean ratings (objects)		Overall ratings	
	<i>English</i>	<i>French</i>	<i>English</i>	<i>French</i>	<i>English</i>	<i>French</i>
Context 1 (NC)	4.6	4.5	4.4	4.8	<b>4.5</b>	<b>4.65</b>
Context 2 (WAI)	6.5	6.3	6.3	6.4	<b>6.4</b>	<b>6.35</b>
Context 3 (WNAI)	6.5	6.4	6.5	6.4	<b>6.5</b>	<b>6.4</b>
Context 4 (SAI)	6.6	6.4	6.7	6.6	<b>6.7</b>	<b>6.5</b>
Context 5 (SNAI)	6.4	6.8	6.4	6.6	<b>6.4</b>	<b>6.7</b>
Context 6 (P)	6.7	6.7	6.7	6.8	<b>6.7</b>	<b>6.75</b>

**Table 2.** Mean naturalness ratings for pre-test 1 (Strength of existential inference)

Visual inspection of these averages suggests that participants deem the likelihood of speaker thinking that someone V-ed lower for the context that lacks a contrast between A's sentence and B's response (i.e. context 1,  $\mu = 4.5/4.65$ ), versus other contexts (where  $\mu$  is consistently above 6.3)—and this quite similarly in both languages.

To determine whether participants' existential ratings varied depending on the fixed-effect predictor CONTRAST (sum-coded prior to analysis as -1/1 for Context 1 vs. others, respectively), we fit a linear mixed effect model to the data for each language. The two models included the maximal random effects structure justified by the data: random by-item intercepts, random by-participant intercepts and random slopes for CONTRAST by item and participant. P-values were obtained by likelihood ratio test of the full model with the effect in question against the model without the effect in question. Results reveal a significant effect of CONTRAST both in English ( $\beta = 2.043$ , SE = 0.091,  $t = 22.24$ ,  $p < .001$ ), and French ( $\beta = 1.62$ , SE = 0.24,  $t = 6.72$ ,  $p < .001$ ) suggesting that, as expected, there was a difference in ratings between the non-contrastive context (#1) versus the others where a conjecture was present (#2-6).

Crucially though, when looking only at the contradictory contexts, we see that the ratings do not significantly differ from each other with respect to A's commitment to existence. This is an important finding since it indicates homogeneity across these contexts. If we also find that these contexts differ in the strength of A's commitment to a statement that B will contradict (as they were designed to do and is tested in task 2), then we will be able to test our prediction that clefts' naturalness is best predicted by a doxastic contrast (i.e., Hypothesis iii.)

## 323 2.3 Task 2: Strength of commitment

### 324 2.3.1 Participants

325 We note that all participants in Task 2 were different from the participants who completed Task 1 and the  
326 main task.

327 For English: We recruited a total of 65 participants (all undergraduates at a midwestern university, ages:  
328 18-21; median: 20) from a first-year language class. Subjects were given extra-credit for their participation,  
329 and were all naive as to the goal of the experiment.

330 For French: We recruited 48 monolingual native speakers of French. All were given monetary  
331 compensation for their participation and were naive as to the goal of the experiment. Participants were  
332 from the regions of Pau, Toulouse and Albi in Southwestern France. Overall, 83% were undergraduate  
333 students, 15% graduate students, and 2% staff working at the university.

### 334 2.3.2 Design & Procedure

335 Recall that the different contexts in our study were designed to reflect the idea that speakers' beliefs  
336 are gradient. We created four levels—*non-contradictory*, *weak*, *strong* and *presuppositional*—with the  
337 underlying assumption being that commitment would get increasingly stronger across these levels. The  
338 present task was conducted to test precisely this assumption, that is to directly measure **how strongly is**  
339 **A committed to 'X V-ed'**. Thus, subjects who took part in this task only saw and rated Speaker A's part  
340 of the dialogue. The test was delivered via the web-based survey site Qualtrics. Participants sat in front  
341 of a computer screen and read a total of 24 contexts (A's part) pseudo-randomized among 24 fillers. On  
342 each trial, after reading A's context, they were asked to judge, on a scale from 1-7, how strongly is A  
343 committed to the fact that X V-ed. So for instance, given context 1 in (12-a) above, participants were asked  
344 how strongly is Speaker A committed to the fact that "someone from the staff told Amy about her surprise  
345 party" (with 1 corresponding to extremely uncommitted and 7 to extremely committed). Here again, the  
346 procedure for English and French was exactly similar; French speakers provided judgments based on the  
347 question '*À quel point est-ce que A pense que X a V?*'

### 348 2.3.3 Results

349 Results for both languages are reported in Table 3. Looking at the ratings descriptively, we indeed observe  
350 a strengthening trend across contexts. We see that Contexts 4-6—which were designed to contain a stronger  
351 commitment of A to the prejacent proposition—are being rated higher than Contexts 2-3, which were  
352 meant to weakly commit A to the prejacent. This result is welcome since it suggests that the contexts we  
353 designed *did* differ in the strength of A's commitment to a statement that B will contradict to the prejacent,  
354 and this for both languages. We can now turn to the main task, testing Hypothesis (iii).

	Mean ratings (subjects)		Mean ratings (objects)		Overall ratings	
	<i>English</i>	<i>French</i>	<i>English</i>	<i>French</i>	<i>English</i>	<i>French</i>
Context 1 (NC)	2.2	2.3	2	2.1	<b>2.1</b>	<b>2.2</b>
Context 2 (WAI)	3.6	3.8	3.9	4.1	<b>3.8</b>	<b>4</b>
Context 3 (WNAI)	2.7	3	2.6	3.5	<b>2.7</b>	<b>3.2</b>
Context 4 (SAI)	6.1	6.4	6.1	6.1	<b>6.1</b>	<b>6.25</b>
Context 5 (SNAI)	5.5	5.8	5.3	5.8	<b>5.4</b>	<b>5.8</b>
Context 6 (P)	5.3	6	5.6	6.2	<b>5.5</b>	<b>6.1</b>

**Table 3.** Mean naturalness ratings for pre-test 2 (Strength of commitment)

## 355 2.4 Main task

### 356 2.4.1 Participants

357 We note that all participants in the main task were different from the participants who completed Task 1  
358 and the Task 2.

359 For English: We recruited 64 participants on Amazon's Mechanical Turk with U.S. IP addresses (ages:  
360 20-61; median: 36). They were paid \$1 for their participation. Subjects who did not self-identify as native  
361 English speakers were not considered.

362 For French: We recruited 48 monolingual native speakers of French. All were given monetary  
363 compensation for their participation and were naive as to the goal of the experiment. Participants were  
364 from the regions of Pau, Toulouse and Albi in Southwestern France. Overall, 77% were undergraduate  
365 students, 17% graduate students, and 6% staff working at the university.

### 366 2.4.2 Design & Procedure

367 On each trial of this task, participants saw the whole dialogue; A's background followed by Speaker  
368 B's comment (appearing either in cleft or canonical form). They were asked to judge the naturalness of  
369 B's sentence given A's on a seven point Likert scale, with endpoints labeled as "extremely natural" and  
370 "extremely unnatural."

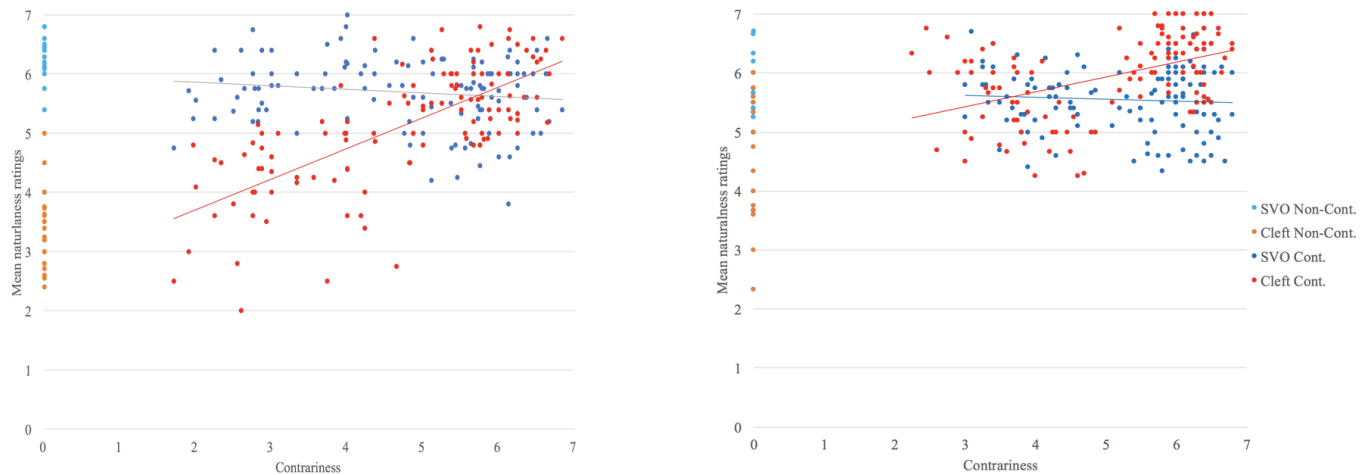
371 We tested the effect of four factors on participants' ratings of cleft and canonical sentences: (i) EXISTENCE  
372 (based on measures from task 1), (ii) GRAMMATICAL FUNCTION (subject vs. object), (iii) AT-ISSUENESS,  
373 and (iv) CONTRARINESS. The factor CONTRARINESS was measured as follows: In the non-contradictory  
374 context (context 1), items were attributed a contrariness value of 0 because Speaker B does not say anything  
375 that conflicts with what Speaker A says. In contradictory contexts (contexts 2-6), the contrariness value  
376 for an item was equated to the strength of Speaker A's commitment to the conflicting proposition (as  
377 measured in task 2). Thus, contrariness was operationalized as the product of commitment and contradiction  
378 (Contrariness = Commitment \* Contradiction). If the data supports Hypothesis (iii), we expect to find that  
379 clefts are rated as more natural in the contexts where the level of contrariness is higher.

380 We counterbalanced the experimental dialogues across 12 lists so that each participant judged a total 24  
381 items (12 subjects and 12 objects). The order of the items was pseudo-randomized among 24 fillers.

### 382 2.4.3 Results

383 In the following, we begin by assessing our results descriptively, then we turn to the statistical analyses.  
384 Results combined for both sentence forms (clefts and SVO canonicals) and collapsed for grammatical  
385 function (subjects and objects) are illustrated in Figure 1, for English on the left panel and French on right  
386 panel. On this figure, red-colored dots represent clefts and blue-colored dots represent canonicals. The  
387 light-colored dots on the y-axis indicate the naturalness ratings in the non-contradictory context (Context  
388 1). The dark-colored dots indicate the ratings for the other contexts, being plotted by the strength of  
389 contrariness attributed to these contexts (i.e. Commitment results from task 2 \* Contradiction).

390 Inspecting the data for English, the figure reveals that the ratings for the cleft seem the most affect by  
391 CONTRARINESS, displaying the steepest increase across language and conditions (as illustrated by the  
392 upward trend in the position of the red dots). Indeed, clefts' ratings were the lowest of all in the non-  
393 contradictory context ( $\mu = 3.39$ ), but increased as CONTRARINESS intensified ( $\mu = 5.9$  in context 6). The  
394 picture is quite different for canonical sentences: They were rated as very natural in the non-contradictory  
395 context ( $\mu = 6.25$ ), which should come to no surprise since in English, canonical sentences constitute an



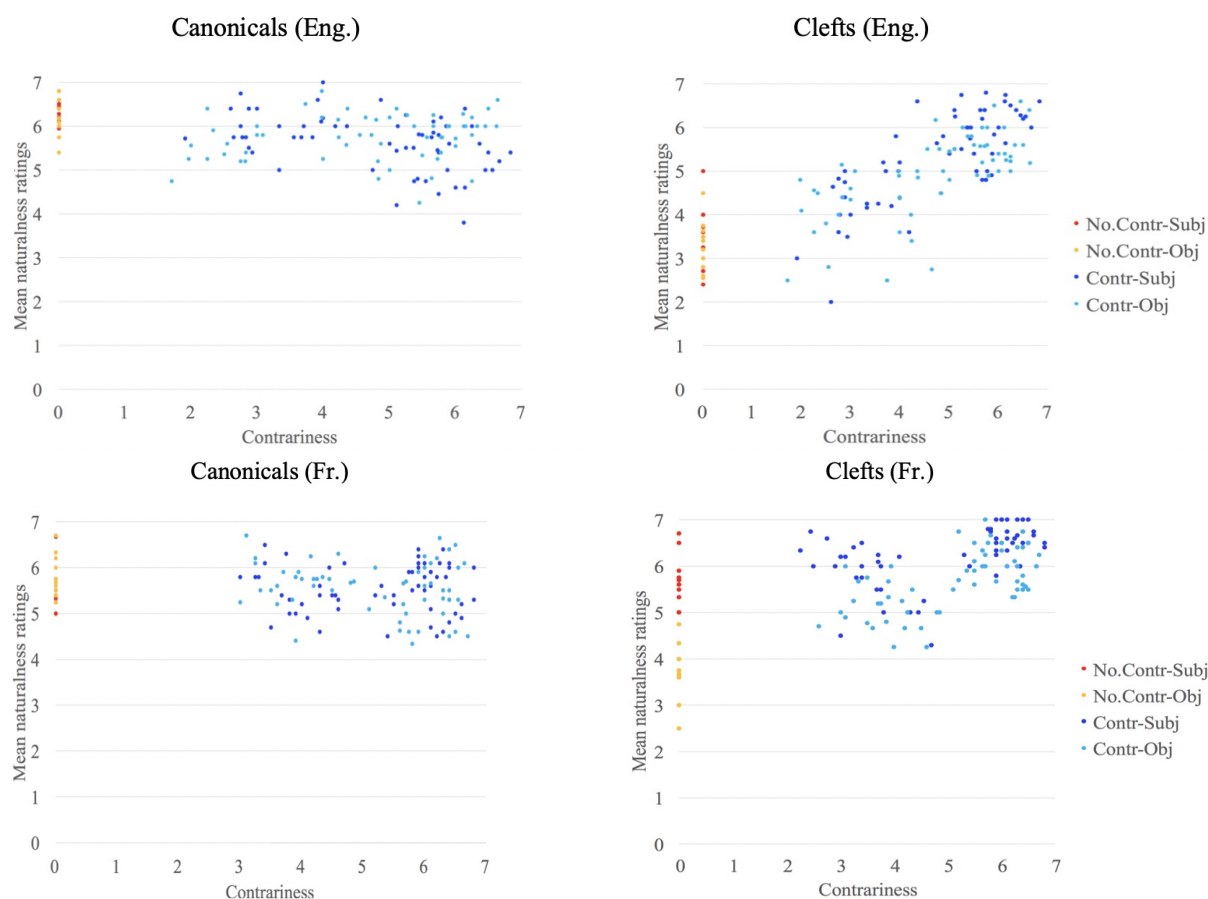
**Figure 1.** Naturalness ratings for English (left) and French (right).

396 unmarked sentence form and are commonly used to answer an explicit wh-question. Interestingly, their  
 397 felicity did not improve much with CONTRARINESS, but in fact slightly decreased ( $\mu = 5.6$ ). Despite this  
 398 decrease though, canonicals were never completely bad and were only slightly worse than clefts in the  
 399 presuppositional context (context 6).

400 Turning to French, we also observe an increase in clefts' naturalness as CONTRARINESS gets stronger, but  
 401 to a much lower degree than in English. This is mainly due to the fact that French clefts are already rated  
 402 fairly high in the non-contradictory context ( $\mu = 4.56$ ), as opposed to the English clefts ( $\mu = 3.39$ ), which is  
 403 expected given that clefts are the most natural way to signal focus in the former language, especially with  
 404 grammatical subjects (as argued by Lambrecht 1994 among others, and empirically substantiated in Féry  
 405 2013 and Destruel 2013). Similarly to English though, canonical sentences behave differently from clefts:  
 406 While being rated highly in non-contradictory contexts ( $\mu = 5.64$ ), their naturalness does not improve as the  
 407 level of CONTRARINESS rises ( $\mu = 5.03$ ). The first part of this result is interesting because it is at odds with  
 408 many past accounts in the French literature that claim canonicals are highly dispreferred in focus contexts  
 409 (Lambrecht, 1994; Katz, 1997; Doetjes et al., 2004). What could be happening is that canonicals are rated  
 410 as more felicitous in our study because they appear in written form, rather than in colloquial speech. We  
 411 return to this point in the general discussion in Section 3.

412 Now, we explore the data by grammatical function (subjects vs. objects), as illustrated in Figure 2, where  
 413 ratings for canonicals appearing in the left panels and ratings for clefts appear in the right panels. The data  
 414 for English are on the top two graphs; the data for French are at the bottom. On all plots, the dark-colored  
 415 dots represent the subject condition and light-colored represent the object condition. The orange-colored  
 416 dots represent the data for the non-contradictory context, and the blue-colored dots represent the data for  
 417 the contradictory contexts.

418 First, we concentrate on the right panels—the results for cleft sentences. Visual inspection of Figure 2  
 419 reveals an asymmetry in clefts' ratings for French in the non-contradictory context (bottom right graph):  
 420 Object clefts (orange dots) appear clearly lower than subject clefts (red dots) ( $\mu = 3.68$  vs.  $\mu = 5.43$ ,  
 421 respectively). This asymmetry relating to argument hierarchy is in line with the past literature and recent  
 422 empirical evidence that suggest subject focus obligatorily induces a non-canonical structure while object  
 423 focus only optionally does so since objects appear by default rightward, where prominence is assigned in  
 424 French (Lambrecht, 2001; Destruel, 2016). We note that evidence for such an asymmetry is also provided



**Figure 2.** Naturalness ratings per grammatical function, for canonicals (left) and clefts (right) in English (top) and French (bottom).

425 cross-linguistically in languages such as Spanish (Buring and Gutierrez-Bravo, 2001), Northern Sotho  
 426 (Zerbian, 2007), Georgian and Hungarian (Skopeteas and Fanselow, 2010b). This asymmetry is absent from  
 427 our English data (see top right graph,  $\mu = 3.26$  and  $\mu = 3.52$  for objects vs. subjects, respectively), which is  
 428 in line with the English results from an elicitation task reported in Skopeteas and Fanselow (2010b).

429 Second, looking at the data for canonical sentences, we see no such asymmetry in either of the two  
 430 languages. Canonical sentences are rated equally high whether focus appears on the subject or the object,  
 431 especially in the non-contradictory context (English:  $\mu = 6.3$  for subjects and  $\mu = 6.2$  for objects; French:  
 432  $\mu = 5.8$  for subjects and  $\mu = 6$  for objects). Here as well, we note that the results for French are at odds  
 433 with Lambrecht's claim that canonical sentences with lexical subjects is not the predominant pattern that  
 434 surfaces in the spoken language (Lambrecht, 1987).

435 We conclude by reporting on the statistical analyses. We conducted a mixed-effects linear regression  
 436 predicting clefts and canonicals' naturalness ratings in English and French from fixed effects of interest  
 437 and the following random-effect structure: random intercepts and slopes for the fixed effect(s) of interest  
 438 (and their interaction when relevant) per participant and item. When the maximal models did not converge  
 439 with the maximal random effects structure, they were re-conducted with the next maximal random effects  
 440 structure until convergence was achieved. All fixed effects were centered before entering the analysis. We  
 441 report on estimates, standard errors, and t-values, with any t-value exceeding 1.96 considered statistically  
 442 significant with  $p < .05$ . P-values were obtained by likelihood ratio tests of the full model with the effect in

443 question against the model without the effect in question. Results were obtained using the *lmer* function  
444 of the *lme4* package (GPL-2|GPL-3, v.1.1-13; Bates et al., 2015) in the R environment (GPL-2|GPL-3,  
445 v.3.3.3; R Core Team, 2017).

446 We first look at the data set for clefts in English. There was no main effect of AT-ISSUENESS ( $\beta = -0.16$ ,  
447 SE = 0.11,  $t = -1.44$ ); when clefts were used to signal an unexpected discourse move, i.e. to contrast on an  
448 element that was part of the non-at-issue content of A's speech, they were not drastically better than when  
449 commenting on an at-issue part of discourse. There was also no main effect of GRAMMATICAL FUNCTION  
450 ( $\beta = 0.31$ , SE = 0.09,  $t = 1.13$ ), such that the ratings for subject and object clefts were not significantly  
451 different. There was, however, a main effect of EXISTENCE ( $\beta = 0.52$ , SE = 0.08,  $t = 6.55$ ), suggesting  
452 that clefts were rated significantly better in contexts where the existence of the element to be contrasted is  
453 assumed. Of the three nested models, the one that gave the best fit to the data was the model that simply  
454 included the factor EXISTENCE ( $\chi = 9.72$ ,  $p < 0.01$ ).

455 Of most interest to us, the factor that had the largest main effect on clefts' ratings was CONTRARINESS  
456 ( $\beta = 0.01$ , SE = 0.001,  $t = 11.06$ )—the model that included this factor gave a significantly better fit to the  
457 data compared to the model that did not ( $\chi = 77.85$ ,  $p < 0.01$ ). This supports our hypothesis that clefts'  
458 naturalness is affected by the degree to which a speaker is committed to a (false) claim.

459 The picture is similar for French clefts in that AT-ISSUENESS had no main effect either ( $\beta = -0.01$ , SE =  
460 0.08,  $t = -1.34$ ), but EXISTENCE did ( $\beta = 0.64$ , SE = 0.05,  $t = 12.03$ ). One notable difference is that there  
461 was a main effect of the factor GRAMMATICAL FUNCTION ( $\beta = 0.88$ , SE = 0.07,  $t = 11.84$ ), suggesting  
462 that in subjects clefts were given significantly better ratings than objects clefts. This result is unsurprising  
463 given what we already mentioned; that clefts are the default strategy to signal subject focus in French.

464 Although to a lesser extent than in English, the factor CONTRARINESS had a significant effect in predicting  
465 clefts' naturalness ( $\beta = 0.008$ , SE = 0.001,  $t = 5.55$ ). A model that included this factor gave a better fit to  
466 the data than a model without ( $\chi = 41.18$ ,  $p < 0.01$ ).

467 Finally, we report on the naturalness rating for canonicals. In English, we only found a main effect  
468 for CONTRARINESS ( $\beta = -0.092$ , SE = 0.017,  $t = -5.24$ ); all other predictors did not significantly affect  
469 canonicals' felicity. For French, we found no main effect of AT-ISSUENESS ( $\beta = 0.11$ , SE = 0.10,  $t = 1.06$ ),  
470 or of EXISTENCE ( $\beta = 0.09$ , SE = 0.08,  $t = 1.02$ ). There were however a main effect of GRAMMATICAL  
471 FUNCTION ( $\beta = -0.20$ , SE = 0.09,  $t = -2.25$ ), and of CONTRARINESS ( $\beta = -0.007$ , SE = 0.001,  $t = -4.92$ ).

### 3 GENERAL DISCUSSION

472 Clefts have long been noted to be focus-marking devices, often marking a more special type of focus, i.e.  
473 contrastive focus. Yet, traditional definitions of contrast appear unable to fully predict when these structures  
474 are most felicitous. This observation constituted the core motivation for our studies—our goal being to  
475 explore the relationship between the rhetorical role of focal alternatives and the naturalness of clefts in  
476 French and English, as per the two research questions in (10) and (11). More specifically, the experiments  
477 were designed to test the idea that clefts incorporate a requirement that the ordinary meaning is contrary to  
478 a previously salient focal alternative, which we operationalized via the notion of *contrariness* (commitment  
479 \* contradiction). In the following, we first summarize the main experimental results and how they speak to  
480 our research questions, then we turn to discussing the implications of our findings for (i) accounts on the  
481 meaning of clefts, (ii) definitions of contrast, and (iii) theories of focus.

482 Regarding the first research question, the experiment provided robust evidence that, although the presence  
483 of a focal alternative in the discourse context does increase the naturalness of clefts, it does not suffice



484 to explain when clefts are preferred. In fact, while controlling for other factors known to influence the  
485 acceptability of clefts, naturalness ratings were significantly impacted when a doxastic contrast was  
486 involved: clefts are better in contexts where they indicate that an utterance runs contrary to a doxastic  
487 commitment held by the hearer, and the results are consistent with there being a requirement for a salient  
488 contrary doxastic commitment, whether that of an addressee or some other individual. We also found  
489 that whether contrastive content was marked as being at-issue or not did not significantly affect clefts'  
490 naturalness. This suggests that metalinguistic expectations about how a contrary point of view is changing  
491 in the discourse are less relevant to the acceptability of clefts than are salient beliefs about the world.

492 Our second research question was whether dependency on the status of alternatives differs between  
493 these French and English. In considering this question, it is necessary first to tease apart what we take to  
494 be independent differences between the two languages. Specifically, we need to separate the effects of  
495 grammatical function from the effects of the status of alternatives. Our experiments showed, in agreement  
496 with past literature, that in French but not English there is an effect of grammatical function: whereas in  
497 French subjects are more naturally clefted than objects, this is not the case for English. Our statistical  
498 analysis shows that once we control for this cross-cutting factor, we can see that clefts in the two languages  
499 exhibit very similar dependencies on the status of alternatives. In both languages clefts are more natural  
500 when there is doxastic contrast.

501 Even though our study was designed primarily to examine the use of clefts, another way to look at the  
502 data is to examine what happens in comparison with canonical sentences. It is often thought that their  
503 use is correlated: Lambrecht (1994) has claimed that clefts in French are used when canonical sentences  
504 are dispreferred. We find qualified support for this hypothesis, and indeed the effects are found in both  
505 languages we studied. On the one hand, canonical sentences were never rated as being highly infelicitous  
506 in our study. This fact appears to partially undercut Lambrecht's claim, since he motivated it on the basis  
507 of judgment and observational data suggesting that canonical sentences in French with lexical (i.e. non-  
508 pronominal) subjects are infelicitous. To the extent that we can operationalize infelicity as corresponding  
509 to mean ratings in the lower half of our 5 point scale, this is not what we found. While the results on clefts  
510 in non-contrastive conditions showed that the French speakers in our sample were prepared to mark at  
511 least some sentence types as being infelicitous in some conditions, they never rated canonical sentences  
512 as infelicitous. Thus, if French speakers only used clefts when their canonical counterparts were strictly  
513 infelicitous, they would be predicted to never use clefts at all, or at least not in any of the conditions  
514 we tested. Nonetheless, we did find reduced acceptability for canonical sentences in some conditions,  
515 specifically for sentences in French in which the context might lead to an expectation of focus on the  
516 subject, and for canonical sentences in both French and English for which the context led to a high level of  
517 contrariness. It is in precisely in these conditions that cleft sentences have their highest mean acceptability  
518 in our study. Hence there is, at the very least, a correlation: the less acceptable canonical sentences are  
519 in a given context, the more acceptable corresponding cleft sentences are in that same context. It is thus  
520 plausible that at least one of the factors motivating cleft use is dispreference for use of the canonical form,  
521 albeit that it would be far too strong to say that cleft sentences are used when the canonical counterpart is  
522 unavailable.

523 What are we to make of the fact that canonical sentences in both French and English were judged to be  
524 slightly, but significantly degraded in contexts imposing a high degree of contrariness? One hypothesis  
525 consistent with this result is that the grammar directly imposes a penalty on the use of canonical sentences  
526 in such contexts. However, here, the style of Lambrecht's analysis provides an alternative way to look at  
527 the data. Lambrecht's model is paradigmatic, i.e. based on the contention that language users consider  
528 competing forms, and that suitability of one form depends on the availability and appropriateness of



529 competing forms. It is consistent with the data that while the canonical form is unmarked, and has no  
530 requirements on (non-) contrariness, the cleft construction is a marked form which is specifically used  
531 when the meaning is also marked, for example in terms of contrariness. Thus in these situations, following  
532 what Horn (1984) called the division of pragmatic labor, the marked form is expected to be used in the  
533 marked context, and the unmarked form is then pragmatically dispreferred in these contexts. This type  
534 of explanation of the observed degradedness of canonical sentences in some contexts provides broad  
535 support for a Lambrechtian approach, even if his specific claims appear overly strong. Of course, it is also  
536 compatible with our data that cleft sentences are unmarked, and involve no inherent, conventionalized  
537 contrariness preference, but that canonical sentences have a conventional preference for non-contrary  
538 contexts. This seems a *prima facie* implausible analysis, reflected in the fact that the linguistic convention  
539 of terming the SVO form in English and French “canonical” already suggests that it is the unmarked form.  
540 We merely note that our data does not mitigate strongly against such an analysis.

541 As discussed in the introduction, the past literature on the meaning of clefts has largely characterized  
542 clefts as having three meaning components, cited in (2). Furthermore, much work has concentrated on  
543 describing the nature of exhaustivity, arguing either that it is semantically encoded in the cleft itself (Atlas  
544 and Levinson, 1981; Percus, 1997; É. Kiss, 1998; Hedberg, 2013), or that it arises as a result of pragmatic  
545 reasoning on the discourse context (Horn, 1981). In general, it is often supposed that aspects of meaning  
546 which are ‘baked’ into the conventional meaning of an expression should surface more robustly than aspects  
547 of meaning and use which are derived indirectly, and involve pragmatic reasoning. Based on this premise,  
548 prior experimental research (Destruel, 2013; Byram-Washburn et al., 2013) has suggest that exhaustivity is  
549 pragmatic. The pattern of data that we have reported on in the current paper might then also be taken to  
550 suggest that contrariness requirements are derived via some pragmatic process, since, our contrariness data  
551 resemble prior exhaustivity data in that we observed gradient differences in judgments across conditions,  
552 rather than clear categorical effects with sharp boundaries between felicitous and infelicitous uses of clefts.  
553 However, we must note here that absent more constraints on possible conventional theories and the way  
554 they relate to judgment data, such a conclusion would be premature.

555 To see how our data might in principle be modeled in terms of linguistic conventions, let us briefly  
556 describe one such model. Call a base grammar one in which there is a certain set of requirements on  
557 the epistemic attitude of a salient individual toward a contrary proposition to the cleft. For example, this  
558 might be a null requirement, with no contrariness needed at all, it might be the requirement that a salient  
559 individual thinks the contrary proposition is possible, or it might be the the requirement that a salient  
560 individual is certain of the contrary proposition. Now suppose that our experimental subjects are uncertain  
561 as to the exact meaning of a cleft, each entertaining a mixture of base grammars as possible models of the  
562 meaning of a cleft, and attributing different probabilities to each base grammar. Imagine that a person for  
563 whom each base grammar  $G_i$  is assigned a non-trivial probabilities  $p_i$  is faced with an example which is  
564 grammatical according to grammars  $G_1, \dots, G_r$ , and ungrammatical according to grammars  $G_{r+1} \dots G_n$ .  
565 Let us suppose that their judgment of the grammaticality will be proportional to  $\sum_1^r p_i$ . That is, we suppose  
566 that felicity of an example is proportional to the likelihood of the grammar being one which accepts that  
567 example. In that case, the more contrary the context for an example, the more positive will be the predicted  
568 felicity judgment, since a more contrary case is bound to satisfy a strictly more grammars. Further, the  
569 model would allow variation across experimental subjects to be modeled in terms of them having different  
570 base grammar probability distributions. Such a model could account for our gradient data entirely in terms  
571 of conventionally stipulated, categorical contrariness requirements of clefts. Thus, while we make no claim  
572 to have resolved whether contrariness is pragmatic (in which case an explanation of the phenomenon would  
573 still be needed), or based on a conventional requirement for contrariness, what we can say is that accounts

574 of the meaning of clefts which are restricted to only the three standard components of cleft meaning are  
575 insufficient, since these do not account for our data.

576 Our research also relates to discussions on the definition of contrast concerned with how to characterize  
577 the nature of the alternatives in the interpretation of contrastive focus (as opposed to focus). As discussed  
578 in the introduction, the past literature has often identified three relevant ingredients to contrast, namely  
579 the size of the alternative set, the identifiability of its elements, and the exclusion requirement of the  
580 alternatives. Our study speaks to the role of these aspects in that our experimental design included a  
581 **non-contrastive** context, in which these aspects were absent (i.e., an alternative to the focused element was  
582 not explicitly mentioned, and therefore what was said about the contrastively focused element potentially  
583 held of its alternatives), and **contrastive** contexts, in which the size of the alternative set was restricted to  
584 one alternative, explicitly mentioned (thus identifiable), and for which the predicate did not hold. Although  
585 we found that clefts' naturalness ratings were significantly better in the latter contexts for both languages,  
586 French clefts were rated fairly high in the non-contrastive context. This suggests that the presence of a  
587 clearly identifiable alternative (set) is not required in this language—the pivot position does not seem  
588 influenced by the alternative type, while it is in English. Thus, the grammatical sensitivity to this particular  
589 aspect of contrast differs between French and English.

590 Our main finding, though, suggests that characterizing contrast solely in terms of contrast set size,  
591 element identifiability and the exclusion requirement is insufficient. We have shown that the notion of  
592 *contrariness* is also important in explaining clefts' use-conditions both in French and English. This is  
593 where we would like to relate our finding to a claim made in Repp (2016). According to the author, one  
594 should not only consider the way in which alternatives are construed, but also the role of discourse relations  
595 between two sentences or discourse segments when trying to gain a precise understanding of the notion of  
596 contrast. For Repp, the alternativeness of constituents has to do with the explicitness (or lack there of) of  
597 the alternative (set), while she claims that another important element of contrast has to do with the type of  
598 discourse relation in which sentences are involved. One relation Repp cites, which is maybe conceived as  
599 the prototypical contrastive relation between two segments, is the relation of CORRECTION (what Mann and  
600 Thompson 1988 term ANTITHESIS), defined as follows: Given two discourse relations  $d1$  and  $d2$ , [CORR]  
601 appears when “ $d2$  rejects  $d1$  because certain background assumptions for the felicitous use of  $d1$  are not  
602 met, or because the propositions associated with  $d1$  and  $d2$  cannot be true in the evaluation world.” In our  
603 experiment, the contrastive contexts all involve a correction relation between the discourse segment of  
604 Speaker A and B, and it would therefore be reasonable to cast an explanation of our data in terms of clefts  
605 being inherently corrective rather than inherently contrary. However, existing accounts of correctivity do  
606 not incorporate any notion of degree of correctivity, whereby one correction is in some sense stronger than  
607 another. In Repp's account, for example, the corrective relation either holds between discourse segments or  
608 fails to hold, with no in between. Therefore we can say that extant models of correctivity could not account  
609 for our data, and such models would have to be augmented in some way that would allow corrections of  
610 weakly held beliefs to be differentiated from corrections of strongly held beliefs.

611 Finally, our findings can be considered in the broader light of prior work on the function of prosody  
612 and other ways of marking information status. Much prior work on focus has emphasized properties that  
613 relate to the presence of some prior structure in discourse, for example the presence of a question, of an  
614 element of the same type as the target, or of a clause which exhibits structural parallelism. A different line  
615 of work was initiated by Pierrehumbert and Hirschberg (1990), who analyze various types of intonational  
616 contour in terms of speaker and hearer expectations. Our experiments and analysis imply that clefts have an  
617 intrinsically doxastic function. While the specific results we have obtained are not predicted by any prior  
618 model, they do suggest that the Pierrehumbert and Hirschberg approach is on right track for analyzing

619 the marking of information status more generally. Indeed, they are also in line with work suggesting that  
620 marking of speaker expectation is a central function of language, markers of such expectations sometimes  
621 being brought together in a (contraversial) category of *miratives* (DeLancey, 1997). It is notable that, at  
622 least under a broad construal of mirativity, several focus sensitive constructions have been taken to be  
623 mirative, including scalar additives like English *even* / French *même* and exclusives like English *only* /  
624 French *seulement* (see e.g. Beaver and Clark 2009). The fact that clefts, which help mark focus, turn out  
625 to have a function related to speaker expectation is of a piece with the fact that that some focus sensitive  
626 constructions have previously been identified as mirative.

627 While our data answers the main questions we set out with, it is also suggestive of new questions. First,  
628 we might ask whether the judgment effects we have observed mirrored in usage data, e.g. in terms of the  
629 frequencies of canonical sentences and cleft sentences in more or less contrary contexts. This suggests  
630 a corpus investigation, but such an investigation would require the operationalization of the notion of  
631 contrariness in naturally occurring data. This would certainly be a challenge with a purely automatic  
632 methodology for identifying examples in corpora, but perhaps is not beyond what might be achieved using  
633 a combination of computational methods for retrieving naturally occurring clefts in context, and human  
634 annotation for assessing the degree of contrariness (or, for that matter, correctness, if this could be assessed  
635 as a matter of degree). Second, for those who accept the premise that gradient data of the sort we see in  
636 this experiment is suggestive of a pragmatic rather than a semantic account, what would be the underlying  
637 pragmatic explanation? That is, how might one derive from standard assumptions about the meaning of  
638 clefts and standard pragmatic principles the fact that clefts are more felicitous as contrariness increases?  
639 Finally, given that we have established that in some way clefts are used to mark differences in expectation,  
640 how might they be fitted into a more general theory of mirativity, i.e. of how expectation is signaled in  
641 human language?

## 4 CONCLUSIONS

642 The goal of the present paper was to test prior hypotheses concerning clefts' standard components of  
643 meaning. We hypothesized that the mere presence of an antecedent in discourse which the clefted element  
644 would pick up and comment on (i.e. simple contrast) would not suffice to fully explain the felicity pattern  
645 of English *it*-clefts. Instead, we set out to test the hypothesis that something more refined is needed, namely  
646 a notion of contrast that includes a conflict between interlocutors' expectations. We adapted Zimmermann's  
647 notion of contrast, which relates to how strongly the addressee believes the contrary, and experimentally  
648 operationalized it. Our data suggests that contrariness does indeed play an important role in helping  
649 speakers choose between cleft and canonical forms: the more strongly an interlocutor appears committed  
650 to a false proposition, the better it is to repudiate them with a cleft as opposed to using canonical word  
651 order, and this effect is visible over and above other factors that distinguish the distribution of clefts in  
652 French and English.

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